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6 UNITED STATES DISTRICT COURT
7 EASTERN DISTRICT OF WASHINGTON

8 CRAIG J. NEUVIRTH,)
9 Plaintiff,) No. CV-10-177-JPH
10 v.) ORDER GRANTING DEFENDANT'S
11 MICHAEL J. ASTRUE, Commissioner)
12 of Social Security,) MOTION FOR SUMMARY JUDGMENT
13 Defendant.)
14)

15 BEFORE THE COURT are cross-motions for summary judgment noted
16 for hearing without oral argument on June 24, 2011 (ECF No. 14,
17 16). Attorney Maureen J. Rosette represents plaintiff; Special
18 Assistant United States Attorney Nancy A. Mishalanie represents
19 the Commissioner of Social Security (Commissioner). The parties
20 have consented to proceed before a magistrate judge (ECF No. 7).
21 Plaintiff replied on June 20, 2011 (ECF No. 18). After reviewing
22 the administrative record and the briefs filed by the parties, the
23 court **grants** defendant's motion for summary judgment (**ECF No. 16**)
24 and **denies** plaintiff's motion for summary judgment (ECF No. 14).

25 **JURISDICTION**

26 Plaintiff protectively applied for disability insurance
27 benefits (DIB) on October 14, 2003, and for supplemental security
28

1 income (SSI) benefits on November 5, 2003, both alleging onset as
2 of January 1, 1993 due to anxiety, fear, anger, depression, and
3 right shoulder pain (Tr. 53-56, 369-371A). Onset was later changed
4 to May 1, 2003 (Tr. 425, 446). The applications were denied
5 initially and on reconsideration (Tr. 31-33, 36-37).
6 Administrative Law Judge (ALJ) R. J. Payne held the first hearing
7 on November 22, 2005 (Tr. 393-404). Plaintiff waived his right to
8 appear but was represented by counsel. Medical experts Ronald
9 Klein, Ph.D., and Robert Berselli, M.D., testified. On January 20,
10 2006, the ALJ issued a decision finding plaintiff is not disabled
11 (Tr. 18-27). The Appeals Council denied plaintiff's request for
12 review on May 18, 2006 (Tr. 5-7). Plaintiff filed a complaint
13 under cause number CV-06-0188-CI. On March 6, 2007, a magistrate
14 judge entered a decision granting plaintiff's summary judgment
15 motion and remanding the case to the agency for further
16 proceedings (Tr. 444-462).

17 Accordingly, the ALJ held a second hearing on February 8,
18 2008 (Tr. 602-630). Plaintiff, still represented, again waived
19 appearance at the hearing. Medical experts R. Thomas McKnight,
20 Ph.D., Anthony E. Francis, M.D., and a vocational expert
21 testified. The ALJ found plaintiff not disabled on February 21,
22 2008 (Tr. 424-442). The Appeals Council denied review on April 13,
23 2010 (Tr. 405-407). The ALJ's decision became the final decision
24 of the Commissioner, which is appealable to the district court
25 pursuant to 42 U.S.C. § 405(g). Plaintiff filed this action for
26 judicial review on June 4, 2010 (ECF No. 1,4).

27 **STATEMENT OF FACTS**

28 The facts have been presented in the administrative hearing

1 transcripts, the ALJ's decision, and the pleadings filed by the
2 parties. They are briefly summarized here.

3 Plaintiff was 43 years old at onset in 2003. He graduated
4 from high school and has worked as a laborer and telemarketer.

5 SEQUENTIAL EVALUATION PROCESS

6 The Social Security Act (the Act) defines disability as the
7 "inability to engage in any substantial gainful activity by reason
8 of any medically determinable physical or mental impairment which
9 can be expected to result in death or which has lasted or can be
10 expected to last for a continuous period of not less than twelve
11 months." 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A). The Act also
12 provides that a Plaintiff shall be determined to be under a
13 disability only if any impairments are of such severity that a
14 plaintiff is not only unable to do previous work but cannot,
15 considering plaintiff's age, education and work experiences,
16 engage in any other substantial gainful work which exists in the
17 national economy. 42 U.S.C. §§ 423(d)(2)(A), 1382c(a)(3)(B).
18 Thus, the definition of disability consists of both medical and
19 vocational components. *Edlund v. Massanari*, 253 F.3d 1152, 1156
20 (9th Cir. 2001).

21 The Commissioner has established a five-step sequential
22 evaluation process for determining whether a person is disabled.
23 20 C.F.R. §§ 404.1520, 416.920. Step one determines if the person
24 is engaged in substantial gainful activities. If so, benefits are
25 denied. 20 C.F.R. §§ 404.1520(a)(4)(i), 416.920(a)(4)(i). If not,
26 the decision maker proceeds to step two, which determines whether
27 plaintiff has a medically severe impairment or combination of
28 impairments. 20 C.F.R. §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii).

1 If plaintiff does not have a severe impairment or combination
2 of impairments, the disability claim is denied. If the impairment
3 is severe, the evaluation proceeds to the third step, which
4 compares plaintiff's impairment with a number of listed
5 impairments acknowledged by the Commissioner to be so severe as to
6 preclude substantial gainful activity. 20 C.F.R. §§
7 404.1520(a)(4)(ii), 416.920(a)(4)(ii); 20 C.F.R. § 404 Subpt. P
8 App. 1. If the impairment meets or equals one of the listed
9 impairments, plaintiff is conclusively presumed to be disabled.
10 If the impairment is not one conclusively presumed to be
11 disabling, the evaluation proceeds to the fourth step, which
12 determines whether the impairment prevents plaintiff from
13 performing work which was performed in the past. If a plaintiff is
14 able to perform previous work, that Plaintiff is deemed not
15 disabled. 20 C.F.R. §§ 404.1520(a)(4)(iv), 416.920(a)(4)(iv). At
16 this step, plaintiff's residual functional capacity (RFC)
17 assessment is considered. If plaintiff cannot perform this work,
18 the fifth and final step in the process determines whether
19 plaintiff is able to perform other work in the national economy in
20 view of plaintiff's residual functional capacity, age, education
21 and past work experience. 20 C.F.R. §§ 404.1520(a)(4)(v),
22 416.920(a)(4)(v); *Bowen v. Yuckert*, 482 U.S. 137 (1987).

23 The initial burden of proof rests upon plaintiff to establish
24 a *prima facie* case of entitlement to disability benefits.
25 *Rhinehart v. Finch*, 438 F.2d 920, 921 (9th Cir. 1971); *Meanel v.*
26 *Apfel*, 172 F.3d 1111, 1113 (9th Cir. 1999). The initial burden is
27 met once plaintiff establishes that a physical or mental
28 impairment prevents the performance of previous work. The burden

1 then shifts, at step five, to the Commissioner to show that (1)
2 plaintiff can perform other substantial gainful activity and (2) a
3 "significant number of jobs exist in the national economy" which
4 plaintiff can perform. *Kail v. Heckler*, 722 F.2d 1496, 1498 (9th
5 Cir. 1984).

6 Plaintiff has the burden of showing that drug and alcohol
7 addiction (DAA) is not a contributing factor material to
8 disability. *Ball v. Massanari*, 254 F.3d 817, 823 (9th Cir. 2001).
9 The Social Security Act bars payment of benefits when drug
10 addiction and/or alcoholism is a contributing factor material to a
11 disability claim. 42 U.S.C. §§ 423 (d)(2)(C) and 1382(a)(3)(J);
12 *Bustamante v. Massanari*, 262 F.3d 949 (9th Cir. 2001); *Sousa v.*
13 *Callahan*, 143 F.3d 1240, 1245 (9th Cir. 1998). If there is
14 evidence of DAA and the individual succeeds in proving disability,
15 the Commissioner must determine whether DAA is material to the
16 determination of disability. 20 C.F.R. §§ 404.1535 and 416.935.
17 If an ALJ finds that the claimant is not disabled, then the
18 claimant is not entitled to benefits and there is no need to
19 proceed with the analysis to determine whether substance abuse is
20 a contributing factor material to disability. However, if the ALJ
21 finds that the claimant is disabled, then the ALJ must proceed to
22 determine if the claimant would be disabled if he or she stopped
23 using alcohol or drugs.

24 STANDARD OF REVIEW

25 Congress has provided a limited scope of judicial review of a
26 Commissioner's decision. 42 U.S.C. § 405(g). A Court must uphold
27 the Commissioner's decision, made through an ALJ, when the
28 determination is not based on legal error and is supported by

1 substantial evidence. See *Jones v. Heckler*, 760 F.2d 993, 995 (9th
2 Cir. 1985); *Tackett v. Apfel*, 180 F.3d 1094, 1097 (9th Cir. 1999).
3 "The [Commissioner's] determination that a plaintiff is not
4 disabled will be upheld if the findings of fact are supported by
5 substantial evidence." *Delgado v. Heckler*, 722 F.2d 570, 572 (9th
6 Cir. 1983)(citing 42 U.S.C. § 405(g)). Substantial evidence is
7 more than a mere scintilla, *Sorenson v. Weinberger*, 514 F.2d 1112,
8 1119 n. 10 (9th Cir. 1975), but less than a preponderance.
9 *McAllister v. Sullivan*, 888 F.2d 599, 601-602 (9th Cir. 1989);
10 *Desrosiers v. Secretary of Health and Human Services*, 846 F.2d
11 573, 576 (9th Cir. 1988). Substantial evidence "means such
12 evidence as a reasonable mind might accept as adequate to support
13 a conclusion." *Richardson v. Perales*, 402 U.S. 389, 401 (1971)
14 (citations omitted). "[S]uch inferences and conclusions as the
15 [Commissioner] may reasonably draw from the evidence" will also be
16 upheld. *Mark v. Celebrezze*, 348 F.2d 289, 293 (9th Cir. 1965). On
17 review, the Court considers the record as a whole, not just the
18 evidence supporting the decision of the Commissioner. *Weetman v.*
19 *Sullivan*, 877 F.2d 20, 22 (9th Cir. 1989)(quoting *Kornock v.*
20 *Harris*, 648 F.2d 525, 526 (9th Cir. 1980)).

21 It is the role of the trier of fact, not this Court, to
22 resolve conflicts in evidence. *Richardson*, 402 U.S. at 400. If
23 evidence supports more than one rational interpretation, the Court
24 may not substitute its judgment for that of the Commissioner.
25 *Tackett*, 180 F.3d at 1097; *Allen v. Heckler*, 749 F.2d 577, 579
26 (9th Cir. 1984). Nevertheless, a decision supported by substantial
27 evidence will still be set aside if the proper legal standards
28 were not applied in weighing the evidence and making the decision.

1 *Browner v. Secretary of Health and Human Services*, 839 F.2d 432,
2 433 (9th Cir. 1987). Thus, if there is substantial evidence to
3 support the administrative findings, or if there is conflicting
4 evidence that will support a finding of either disability or
5 nondisability, the finding of the Commissioner is conclusive.
6 *Sprague v. Bowen*, 812 F.2d 1226, 1229-1230 (9th Cir. 1987).

7 **ALJ'S FINDINGS**

8 The ALJ found plaintiff was insured for DIB purposes through
9 March 31, 2005 (Tr. 425, 427). He found plaintiff is disabled when
10 DAA is included (Tr. 435); accordingly, he went on to perform the
11 second five step sequential evaluation as required¹. The ALJ found
12 plaintiff is not disabled if he is clean and sober, i.e., if DAA
13 is excluded (Tr. 442). Because DAA materially contributes to the
14 disability determination, the ALJ found plaintiff is not disabled
15 as defined by the Social Security Act (Tr. 442).

16 **ISSUES**

17 Plaintiff alleges the ALJ improperly weighed the medical
18 evidence, including Mr. Davis's² opinion, and should have found at
19 step three Mr. Neuvirth's knee impairment meets or medically
20 equals a Listed impairment. He does not challenge the ALJ's
21 adverse credibility assessment.

22 Asserting the ALJ appropriately weighed the evidence and
23 assessed credibility, the Commissioner asks the Court to affirm
24 (ECF No. 17 at 9-10).

25 ///

26
27 ¹See C.F.R. §§ 404.1535 and 416.935.

28 ²He is also referred to as John Davis Evans (Tr. 428).

1 DISCUSSION

2 A. Weighing medical evidence

3 In social security proceedings, the claimant must prove the
4 existence of a physical or mental impairment by providing medical
5 evidence consisting of signs, symptoms, and laboratory findings;
6 the claimant's own statement of symptoms alone will not suffice.
7 20 C.F.R. § 416.908. The effects of all symptoms must be evaluated
8 on the basis of a medically determinable impairment which can be
9 shown to be the cause of the symptoms. 20 C.F.R. § 416.929. Once
10 medical evidence of an underlying impairment has been shown,
11 medical findings are not required to support the alleged severity
12 of symptoms. *Bunnell v. Sullivan*, 947 F.2d 341, 345 (9th Cir.
13 1991).

14 A treating physician's opinion is given special weight
15 because of familiarity with the claimant and the claimant's
16 physical condition. *Fair v. Bowen*, 885 F.2d 597, 604-05 (9th Cir.
17 1989). However, the treating physician's opinion is not
18 "necessarily conclusive as to either a physical condition or the
19 ultimate issue of disability." *Magallanes v. Bowen*, 881 F.2d 747,
20 751 (9th Cir. 1989)(citations omitted). More weight is given to a
21 treating physician than an examining physician. *Lester v. Chater*,
22 81 F.3d 821, 830 (9th Cir. 1995). Correspondingly, more weight is
23 given to the opinions of treating and examining physicians than to
24 nonexamining physicians. *Benecke v. Barnhart*, 379 F.3d 587, 592
25 (9th Cir. 2004). If the treating or examining physician's opinions
26 are not contradicted, they can be rejected only with clear and
27 convincing reasons. *Lester*, 81 F.3d at 830. If contradicted, the
28 ALJ may reject an opinion if he states specific, legitimate

1 reasons that are supported by substantial evidence. See *Flaten v.*
2 *Secretary of Health and Human Serv.*, 44 F.3d 1435, 1463 (9th Cir.
3 1995).

4 In addition to the testimony of a nonexamining medical
5 advisor, the ALJ must have other evidence to support a decision to
6 reject the opinion of a treating physician, such as laboratory
7 test results, contrary reports from examining physicians, and
8 testimony from the claimant that was inconsistent with the
9 treating physician's opinion. *Magallanes v. Bowen*, 881 F.2d 747,
10 751-52 (9th Cir. 1989); *Andrews v. Shalala*, 53 F.3d 1042-43 (9th
11 Cir. 1995).

12 I. Mr. Davis's opinion

13 First, plaintiff contends the ALJ should have credited the
14 September 2006 and July 2007 opinions of Mr. Davis, MHP, a
15 counselor at the VA who treated Mr. Neuvirth (ECF No. 15 at 12-
16 15). The Commissioner responds that a magistrate judge decided
17 this issue in the remand order, with respect to Mr. Davis's
18 November 2003 and December 2004 opinions, in the Commissioner's
19 favor. The magistrate judge found the ALJ was correct to discount
20 Mr. Davis's 2003 opinion³ because as a non-acceptable medical
21 source the ALJ needed to and did provide a germane reason: Mr.
22 Davis's opinions are based on a diagnosis of polysubstance abuse
23 "in full remission" -- a diagnosis directly contradicted elsewhere
24 in the record (Tr. 454, 456). The Commissioner asserts because the
25 magistrate judge's ruling is now the law of the case, plaintiff is

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27 ³The district court's order indicates Mr. Davis's December
28 2004 opinion was submitted to the Appeals Council but not to the
ALJ during the proceedings (Tr. 454 at n.5).

1 precluded from relitigating the issue (ECF No. 17 at 10-15).

2 As a general principal, the United States Supreme Court has
3 recognized that an administrative agency is bound on remand to
4 apply the legal principals laid down by the reviewing court.
5 *Ischay v. Barnhart*, 383 F.Supp.2d 1199, 1213-1214 (C.D. Cal.
6 2005), citing *F.C.C. v. Pottsville Broadcasting Co.*, 309 U.S. 134,
7 145 (1940); see also *United Gas Improvement Co., v. Continental*
8 *Oil Co.*, 381 U.S. 392, 406 (1965)(explaining that the agency must
9 act upon the court's correction on remand). The *Ischay* court
10 observes the Supreme Court more recently held

11 Where a court finds that the Secretary has
12 committed a legal or factual error in evaluating
13 a particular claim, the district court's remand
14 order will often include detailed instructions
15 concerning the scope of the remand, the evidence
16 to be adduced, and the legal or factual issues
17 to be addressed. Often, complex legal issues are
18 involved, including classification of the claimant's
19 alleged disability or his or her work experience
20 within the Secretary's guidelines or "grids" used
21 for determining claimant disability. *Deviation from*
22 *the court's remand order in the subsequent*
23 *administrative proceeding is itself legal error,*
24 *subject to reversal on further judicial review.*

18 *Ischay*, 383 F.Supp.2d at 1214, citing *Sullivan v. Hudson*, 490 U.S.
19 877, 886 (1989)(emphasis added by *Ischay* court; citations
20 omitted).

21 Under the "law of the case" doctrine cited by the Commissioner,
22 "a court is generally precluded from reconsidering an issue that
23 has already been decided by the same court, or a higher court in
24 the identical case." *Thomas v. Bible*, 983 F.2d 152, 154 (9th Cir.
25 1993). The legal effect of the doctrine of the law of the case
26 depends upon whether the earlier ruling was made by a trial court
27 or an appellate court. All rulings of a trial court are subject to
28 revision at any time before the entry of judgment. A trial court

1 may not, however, reconsider a question decided by an appellate
 2 court. *United States v. Houser*, 804 F.2d 565, 567 (9th Cir. 1986)
 3 (emphasis in original); *Ischay*, 383 F.Supp.2d at 1214. In short,
 4 the law of the case precludes re-litigation of issues settled by a
 5 district court's order prior to remand. See *Holst v. Bowen*, 637
 6 F.Supp. 145, 148 (E.D. Wash. 1986); see also *Hooper v. Heckler*,
 7 752 F.2d 83, 87-88 (4th Cir. 1985)(finding that the ALJ violated
 8 the rule of mandate⁴) by relitigating an issue decided by the
 9 district court); *Pearson v. Chater*, No. C-95-1921-CAL, 1997 WL
 10 314380, at *3 (N.D. Cal. Feb. 20, 1997)(holding that, "[u]nder the
 11 law of the case doctrine, this court will not re-examine its legal
 12 decision that the ALJ had sufficient reasons to discount [a
 13 medical expert's] testimony"), *aff'd*, 141 F.3d 1178 (9th Cir.
 14 1998).

15 It would be error for this court to accept plaintiff's
 16 invitation to reconsider whether the ALJ properly discounted Mr.
 17 Davis's 2003 and 2004 opinions. The district court's order to
 18 remand expressly affirmed the ALJ's germane reason for rejecting
 19 Mr. Davis's "other source" opinions: they were "based on the
 20 invalid diagnosis of polysubstance abuse in full remission." (Tr.
 21 456). Under the law of the case doctrine, this court cannot as a
 22 matter of law revisit the prior court's ruling. The court agrees
 23 with the Commissioner's assertion that none of the three

24
 25 ⁴The court's orders are enforceable under the rule of
 26 mandate, and the court's legal conclusions supply the law of the
 27 case. Thus the so-called rule of mandate "presents a specific and
 28 more binding variant of the law of the case doctrine." *Ischay*,
 383 F.Supp.2d at 1214 (citations omitted). "When acting under an
 appellate court's mandate, an inferior court is bound by the
 decree as the law of the case[.]" *Id.*, citing *Vizcaino v. United*
States District Court, 173 F.3d 713, 719 (9th Cir. 1999).

1 exceptions to the law of the case doctrine are applicable in this
2 case (ECF No. 17 at 11-15, citing *Old Person v. Brown*, 312 F.3d
3 1036, 1039 (9th Cir. 2002), *cert. denied*, 540 U.S. 1016
4 (2003)(citations omitted)).

5 Even if the doctrines of the law of the case or the broader
6 rule of mandate do not apply to the 2006 and 2007 opinions, the
7 ALJ's current reason for discounting them is the same and again
8 germane. The ALJ points out Mr. Davis's more recent opinions
9 (which are essentially the same as those he previously asserted)
10 assume the same false premise, that DAA has been in full
11 remission for two years. The record supports the ALJ's germane
12 reason. Plaintiff tested positive for cocaine and opiates in
13 October 2006 (Exhibit 13F at 29). He told Dr. Flannegan he had a
14 cocaine binge six weeks before her February 2004 evaluation and
15 was drinking several times a week (Tr. 118). In addition, Dr.
16 McKnight's testimony at the latest hearing supports the ALJ's
17 rejection of Mr. Davis's opinion.

18 II. *Dr. Francis's opinion*

19 Plaintiff contends the ALJ erred when he weighed the opinion
20 of orthopedic surgeon Anthony Francis, M.D., the medical expert
21 who testified at the most recent hearing in 2008 (ECF No. 15 at
22 10-12, referring to Tr. 586-598, 604-613). The Commissioner
23 addresses this issue in the context of plaintiff's argument that
24 the ALJ should have found his knee impairment meets or medically
25 equals Listing 1.02A (ECF No. 17 at 16-18).

26 At the first hearing plaintiff's counsel stipulated that no
27 Listing is met or equaled (Tr. 404). Accordingly, this argument
28 was waived and is not properly before the court.

1 Even if the court considers the argument, it is unsupported
2 by Dr. Francis's testimony as a whole.

3 Dr. Francis wondered if the plaintiff's knee problem possibly
4 meets Listing 1.02A (Tr. 606). He notes plaintiff is described

5 "as having quite a bit of pain and instability in his
6 knee. And then for whatever reason he has an opportunity
7 to seek treatment and then doesn't. . . my general
8 feeling in this case is that we have a person with
9 a condition that is probably quite remedial and he's
10 not taking advantage of the remedy available to him,
11 which would be a possible orthopedic procedure. . .
12 I can do an RFC if you want --"

13 (Tr. 605-606).

14 He then opined a person who has chronic knee pain based on
15 what is described at times as ligament instability, and at other
16 times as a stable knee, has an RFC somewhere between medium and
17 light work (Tr. 606). Dr. Francis then assessed specific
18 limitations (Tr. 606-611) ultimately adopted by the ALJ. Plaintiff
19 incorrectly contends Dr. Francis opined plaintiff's knee
20 impairment meets or equals Listing 1.02A.

21 *III. Plaintiff's credibility*

22 To aid in weighing the conflicting medical evidence, the ALJ
23 evaluated plaintiff's credibility and found him less than fully
24 credible (Tr. 439), a finding Mr. Neuvirth does not challenge on
25 appeal. Credibility determinations bear on evaluations of medical
26 evidence when an ALJ is presented with conflicting medical
27 opinions or inconsistency between a claimant's subjective
28 complaints and diagnosed condition. *See Webb v. Barnhart*, 433 F.3d
683, 688 (9th Cir. 2005).

It is the province of the ALJ to make credibility
determinations. *Andrews v. Shalala*, 53 F.3d 1035, 1039 (9th Cir.
1995). However, the ALJ's findings must be supported by specific

1 cogent reasons. *Rashad v. Sullivan*, 903 F.2d 1229, 1231 (9th Cir.
2 1990). Once the claimant produces medical evidence of an
3 underlying medical impairment, the ALJ may not discredit testimony
4 as to the severity of an impairment because it is unsupported by
5 medical evidence. *Reddick v. Chater*, 157 F.3d 715, 722 (9th Cir.
6 1998). Absent affirmative evidence of malingering, the ALJ's
7 reasons for rejecting the claimant's testimony must be "clear and
8 convincing." *Lester v. Chater*, 81 F.3d 821, 834 (9th Cir. 1995).
9 "General findings are insufficient: rather the ALJ must identify
10 what testimony is not credible and what evidence undermines the
11 claimant's complaints." *Lester*, 81 F.3d at 834; *Dodrill v.*
12 *Shalala*, 12 F.3d 915, 918 (9th Cir. 1993).

13 The ALJ relied on inconsistent statements, test scores
14 indicative of exaggeration, and an unexplained lack of treatment
15 when he found plaintiff less than credible (Tr. 439-440).

16 In October 2007 plaintiff told examining psychologist John
17 McRae, Ph.D., he last used cocaine in 2003 (Tr. 564) but a year
18 earlier, in October 2006, a drug screen was positive for cocaine
19 and opiates. Immediately before the 2006 drug test plaintiff again
20 denied drug use (Tr. 520, 522). Dr. McKnight pointed out these
21 inconsistencies (Tr. 615).

22 Dr. McRae opined plaintiff's scores on the MMPI-2 were very
23 likely invalid based on his extremely high score, and indicate
24 exaggeration of symptomology or a plea for help. He opined Mr.
25 Neuirth's scores on the M-FAST⁵ are "highly suggestive of
26 malingered psychopathology" (Tr. 566).

27 Plaintiff has, without adequate explanation, failed to pursue
28

⁵Miller Forensic Assessment of Symptoms Test.
ORDER GRANTING DEFENDANT'S MOTION
FOR SUMMARY JUDGMENT

1 treatment for physical or mental conditions. The VA terminated
2 services sometime before October 2004 due to chronic cocaine and
3 alcohol use. A treating psychiatrist at the VA notes in 2004 and
4 2005 plaintiff failed to show for numerous appointments. The VA
5 scheduled plaintiff for an orthopedic consultation in Seattle but
6 he failed to keep the appointment. As the ALJ correctly points
7 out, VA records show that by May 2006 plaintiff had not been seen
8 on a regular basis for any medical condition for nearly two years
9 (Tr. 439-440; Exhibits 4F, 8F, 9F and 13F).

10 Counsel asserts plaintiff was unable to seek medical
11 attention because he was incarcerated. Plaintiff's unreliable
12 reporting provides an inadequate explanation for the lack of
13 treatment. Plaintiff stated he was incarcerated for 16 months for
14 robbery, and served his time just prior to July 12, 2006 (Tr.
15 538). Records show plaintiff failed to appear at a mental health
16 intake appointment on August 16, 2006, apparently after his
17 release (Tr. 535).

18 The ALJ's reasons for finding plaintiff less than fully
19 credible are clear, convincing, and fully supported by the record.
20 *See Thomas v. Barnhart*, 278 F.3d 947, 958-959 (9th Cir. 2002)
21 (proper factors include inconsistencies in plaintiff's statements,
22 inconsistencies between statements and conduct, and extent of
23 daily activities). Noncompliance with medical care or unexplained
24 or inadequately explained reasons for failing to seek medical
25 treatment cast doubt on a claimant's subjective complaints. *Fair*
26 *v. Bowen*, 885 F.2d 597, 603 (9th Cir. 1989).

27 The ALJ is responsible for reviewing the evidence and
28 resolving conflicts or ambiguities in testimony. *Magallanes v.*

1 *Bowen*, 881 F.2d 747, 751 (9th Cir. 1989). It is the role of the
2 trier of fact, not this court, to resolve conflicts in evidence.
3 *Richardson*, 402 U.S. at 400. The court has a limited role in
4 determining whether the ALJ's decision is supported by substantial
5 evidence and may not substitute its own judgment for that of the
6 ALJ, even if it might justifiably have reached a different result
7 upon de novo review. 42 U.S.C. § 405 (g).

8 The ALJ gave clear and convincing reasons for his
9 unchallenged credibility assessment, and it is supported by
10 substantial evidence.

11 The ALJ's assessment of the medical opinions and plaintiff's
12 credibility are both supported by the record and free of legal
13 error.

14 CONCLUSION

15 Having reviewed the record and the ALJ's conclusions, this
16 court finds that the ALJ's decision is free of legal error and
17 supported by substantial evidence.

18 IT IS ORDERED:

19 1. Defendant's Motion for Summary Judgment (**ECF No. 16**) is
20 **granted**.

21 2. Plaintiff's Motion for Summary Judgment (**ECF No. 14**) is
22 **denied**.

23 The District Court Executive is directed to file this Order,
24 provide copies to counsel for the parties, enter judgment in favor
25 of defendant, and **CLOSE** this file.

26 DATED this 20th day of June, 2011.

27 s/ James P. Hutton

28 JAMES P. HUTTON
UNITED STATES MAGISTRATE JUDGE

ORDER GRANTING DEFENDANT'S MOTION
FOR SUMMARY JUDGMENT

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